

OCT. 5. 2007 1:28PM

Frishauf & Partners #12123195101

NO. 1433 P. 1

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07/06/2007

FRISHAUF, HOLTZ, GOODMAN & CHICK, PC
220 Fifth Avenue
16TH Floor
NEW YORK, NY 10001-7708

10/05/2007 FMETEK12 00000101 10719284

01 FC:1501	1440.00 <input type="checkbox"/>	FILING DATE OP 10/20/2003	FIRST NAMED INVENTOR Akira Fukushima	ATTORNEY DOCKET NO. 03627/LH	CONFIRMATION NO. 3180
02 FC:1501 <input type="checkbox"/>	APPLICATION NO.				
03 FC:1501 <input type="checkbox"/>	PPR OP				

TITLE OF INVENTION: CRAWLER FRAME FOR CONSTRUCTION MACHINE

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B. Villani	(Depositor's name)
<i>B. Villani</i>	(Signature)
via fax 10/5/07	
(Date)	

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PRV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400 1440	\$300	\$0	\$1700 1740	10/09/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS				
BEACH, THOMAS A	3671	037-397000				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____
2 _____ FRISHAUF, HOLTZ,
3 _____ GOODMAN & CHICK, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1. Komatsu Ltd.

TOKYO, JAPAN

2. Hitachi Construction Machinery Co., Ltd.

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

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a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Leonard Holtz

Date 10/5/07

Typed or printed name

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